

# Symbiox Investment & Trading Co Ltd

7A, Rani Rashmoni Road  
Kolkata - 700 013  
Phone : 033-3251 5833  
CIN : L65993WB1979PLC032012  
Website : [www.symbioxinvestment.com](http://www.symbioxinvestment.com)  
E-mail ID : [symbioxinvestment100@gmail.com](mailto:symbioxinvestment100@gmail.com)

Date: 11.01.2019

To,  
The Bombay Stock Exchange Limited,  
PJ Towers, Dalal Street  
Mumbai- 400 001

To,  
The Calcutta Stock Exchange Limited  
7, Lyons Range,  
Kolkata- 700 001

To,  
Compliance Department  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4th floor, Plot No C 62, G - Block,  
Opp. Trident Hotel, Bandra Kurla Complex,  
Bandra(E), Mumbai - 400 098

**Sub: Quarterly Compliance Report on Corporate Governance for the quarter ended 31<sup>st</sup> December, 2018.**

Dear Sir,

Pursuant to Regulation 27 clause (2) of SEBI Listing regulation, 2015, (LORD), we are enclosing herewith the yearly and quarterly Compliance Report on Corporate Governance for the quarter ended 31<sup>st</sup> December, 2018.

Kindly acknowledge the same.

Thanking you.

Yours Faithfully,  
For Symbiox Investment & Trading Co Ltd

*NeHa Pansari*

**NEHA PANSARI**  
Company Secretary  
PAN NO. BZUPK0444A



Encl: As stated above

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: **Symbiox Investment & Trading Company Ltd**
2. Quarter ending: **31<sup>st</sup> December, 2018**

I. Composition of Board of Directors										
Title (Mr. / Ms)	Name of the Director	PAN\$	DIN	Category (Chairperson/Executive /Non-Executive/Independent /Nominee) &	Date of Appointment in the current term /cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	
Mr.	GAURAV AUDICHYA	AJHPA9255H	06953445	Executive Director	21-08-2014	-	1	1	0	
Mr.	MOHIT SHARMA	ELEPS4911R	06554517	Executive Director	15-02-2014	-	1	1	0	
Mr.	RAM PRASAD PAREEK	AAPP18382D	07379867	Non-Executive - Independent Director	06-01-2016	35	1	2	0	
Mr.	SARMAN SHARMA	ARJPS5174K	02484309	Non-Executive - Independent Director	23-09-2013	63	1	1	1	
Ms.	MINA DEVI AGARWAL	BKSPA3359K	07370734	Chairperson-Non-Executive - Independent Director	06-01-2016	35	1	1	1	
Mr.	SHIVANGI HENILBHAI RUPARELIA	CKTPR5435B	07958051	Non-Executive - Independent Director	11-10-2017	15	1	0	0	
Mr.	DIPAKBHAI HARILAL RUPARELIYA	ADKPR0917Q		Executive Director	11-10-2017	-	1	0	0	
\$PAN number of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.										



## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) \$
1. Audit Committee	1) Mina Devi Agarwal- Chairman 2) Mohit Sharma - Member 3) Ram Prasad Pareek - Member 4) Sarman Sharma - Member	Non-Executive/ Independent Director Executive Director Non-Executive/ Independent Director Non-Executive/ Independent Director
2. Nomination & Remuneration Committee	1) Gaurav Audichya - Member 2) Mina Devi Agarwal - Member 3) Ram Prasad Pareek - Chairperson 4) Sarman Sharma - Member N.A	Non-Executive/ Independent Director Non-Executive/ Independent Director Non-Executive/ Independent Director
3. Risk Management Committee (if applicable)	N.A	
4. Stakeholders Relationship Committee'	1) Gaurav Audichya - Member 2) Mina Devi Agarwal - Member 3) Ram Prasad Pareek - Member 4) Sarman Sharma - Chairman	Executive Director Non-Executive/ Independent Director Non-Executive/ Independent Director Non-Executive/ Independent Director

&Category of directors means executive/non-executive/Independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
14-08-2018		
04-09-2018	10-11-2018	66

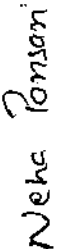

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
10-11-2018	YES - 4	14-08-2018	87

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
10-11-2018	YES - 4		



<b>V. Related Party Transactions</b>	
<b>Subject</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
Whether prior approval of audit committee obtained	Not Applicable
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable
<b>Note</b>	
1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.	
2.If status is "No" details of non-compliance may be given here	
<b>VI. Affirmations</b>	
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - YES	
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015	
a. Audit Committee - <b>YES</b>	
b. Nomination & remuneration committee - <b>YES</b>	
c. Stakeholders relationship committee - <b>YES</b>	
d. Risk management committee (applicable to the top 100 listed entities)- <b>Not Applicable</b>	
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - <b>YES</b>	
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - <b>YES</b>	
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: - <b>YES</b>	
For <b>Symbiox Investment &amp; Trading Co Ltd</b>	
 <b>NEHA PANSARI</b> <b>Company Secretary</b> <b>PAN NO. BZUPK0444A</b>	
	

**Note:**Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

## Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

<b>I. Disclosure on website in terms of Listing Regulations</b>		<i>Compliance status (Yes/No/NA)refer note below</i>
<i>Item</i>		
Details of business		Yes
Terms and conditions of appointment of independent directors		Yes
Composition of various committees of board of directors		Yes
Code of conduct of board of directors and senior management personnel		Yes
Details of establishment of vigil mechanism/ Whistle Blower policy		Yes
Criteria of making payments to non-executive directors		NA
Policy on dealing with related party transactions		Yes
Policy for determining 'material' subsidiaries		Yes
Details of familiarization programmes imparted to independent directors		Yes
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances		Yes
email address for grievance redressal and other relevant details		Yes
Financial results		Yes
Shareholding pattern		Yes
Details of agreements entered into with the media companies and/or their associates		NA
New name and the old name of the listed entity		NA
<b>II Annual Affirmations</b>		
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)refer note below</b>
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes
<i>Board composition</i>	17(1)	Yes
<i>Meeting of Board of directors</i>	17(2)	Yes
<i>Review of Compliance Reports</i>	17(3)	Yes
<i>Plans for orderly succession for appointments</i>	17(4)	Yes
<i>Code of Conduct</i>	17(5)	Yes
<i>Fees/compensation</i>	17(6)	NA
<i>Minimum Information</i>	17(7)	Yes
<i>Compliance Certificate</i>	17(8)	Yes
<i>Risk Assessment &amp; Management</i>	17(9)	NA
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes



Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	NA
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA
Approval for material related party transactions	23(4)	NA
Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

**Note**

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.
- 3 If the Listed Entity would like to provide any other information the same may be indicated here.

**III Affirmations:**

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied For **Symbiox Investment & Trading Co. Ltd**

*Nehe Pansari*

**NEHA PANSARI**  
**Company Secretary**  
 PAN NO. BZUPK0444A

