

Symbiox Investment & Trading Co Ltd

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Kolkata - 700 013
Phone : 033-3251 5833
CIN : L65993WB1979PLC032012
Website : www.symbioxinvestment.com
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Date: 12.10.2018

To,
The Bombay Stock Exchange Limited,
PJ Towers, Dalal Street
Mumbai- 400 001

To,
The Calcutta Stock Exchange Limited
7, Lyons Range,
Kolkata- 700 001

To,
Compliance Department
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th floor, Plot No C 62, G - Block,
Opp. Trident Hotel, Bandra Kurla Complex,
Bandra(E), Mumbai - 400 098

Sub: Quarterly Compliance Report on Corporate Governance for the quarter ended 30th September, 2018.

Dear Sir,

Pursuant to Regulation 27 clause (2) of SEBI Listing regulation, 2015, (LORD), we are enclosing herewith the yearly and quarterly Compliance Report on Corporate Governance for the quarter ended 30th September, 2018.

Kindly acknowledge the same.

Thanking you.

Yours Faithfully,
For Symbiox Investment & Trading Co Ltd

MOHU
MOHIT SHARMA
Managing Director
DIN NO. 06554517



Encl: As stated above

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: **Symbiox Investment & Trading Company Ltd**
 2. Quarter ending: **30th September, 2018**

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PANS	DIN	Category (Chairperson/Executive /Non-Executive/Independent /Nominee) &	Date of Appointment in the current term /cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	GAURAV AUDICHYA	AJHPA9255H	06953445	Executive Director	21-08-2014	-	1	1	0
Mr.	MOHIT SHARMA	ELEPS4911R	06554517	Executive Director	15-02-2014	-	1	1	0
Mr.	RAM PRASAD PAREEK	AAPP18382D	07379867	Non-Executive - Independent Director	06-01-2016	32	1	2	0
Mr.	SARMAN SHARMA	ARJPS5174K	02484309	Non-Executive - Independent Director	23-09-2013	60	1	1	1
Ms.	MINA DEVI AGARWAL	BKSPA3359K	07370734	Chairperson-Non-Executive - Independent Director	06-01-2016	32	1	1	1
Mr.	SHIVANGI HENILBHAI RUPARELIYA	CKTPR5435B	07958051	Non-Executive - Independent Director	11-10-2017	12	1	0	0
Mr.	DIPAKBHAI HARILAL RUPARELIYA	ADKPR0917Q	07958124	Executive Director	11-10-2017	-	1	0	0

SPAN number of any director would not be displayed on the website of Stock Exchange

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) \$
1. Audit Committee	1) Mina Devi Agarwal- Chairman 2) Mohit Sharma - Member 3) Ram Prasad Pareek - Member 4) Sarman Sharma - Member	Non-Executive/ Independent Director Executive Director Non-Executive/ Independent Director Non-Executive/ Independent Director
2. Nomination & Remuneration Committee	1) Gaurav Audichya - Member 2) Mina Devi Agarwal - Member 3) Ram Prasad Pareek - Chairperson 4) Sarman Sharma - Member N.A	Executive Director Non-Executive/ Independent Director Non-Executive/ Independent Director Non-Executive/ Independent Director
3. Risk Management Committee(if applicable)	N.A	
4. Stakeholders Relationship Committee'	1) Gaurav Audichya - Member 2) Mina Devi Agarwal - Member 3) Ram Prasad Pareek - Member 4) Sarman Sharma - Chairman	Executive Director Non-Executive/ Independent Director Non-Executive/ Independent Director Non-Executive/ Independent Director

&Category of directors means executive/non-executive/Independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
28-05-2018	14-08-2018	77
	04-09-2018	20

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
14-08-2018	YES - 4	28-05-2018	77
04-09-2018			20

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional



V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Not Applicable
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2.If status is "No" details of non-compliance may be given here

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - YES
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
a. Audit Committee - **YES**
b. Nomination & remuneration committee - **YES**
c. Stakeholders relationship committee - **YES**
d. Risk management committee (applicable to the top 100 listed entities)-**Not Applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **YES**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **YES**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: - **YES**

For **Symbiox Investment & Trading Co Ltd**

Mohit

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MOHIT SHARMA
Managing Director
DIN NO. 06554517

Note:Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.



Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	NA
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA
Approval for material related party transactions	23(4)	NA
Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

Note

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

3 If the Listed Entity would like to provide any other information the same may be indicated here.

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. For **Symbiox Investment & Trading Co Ltd**



MOHIT SHARMA
Managing Director
 DIN NO. 06554517