## Symbiox Investment & Trading Co Ltd

7A, Rani Rashmoni Road Kolkata - 700 013 Phone : 033-3251 5833 CiN : L65993WB1979PLC032012 Website : www.symbioxinvestment.com E-mail ID : symbioxinvestment100@gmail.com

Date: 12.10.2018

To, The Bombay Stock Exchange Limited, PJ Towers, Dalal Street Mumbai- 400 001 To, The Calcutta Stock Exchange Limited 7, Lyons Range, Kolkata- 700 001

Τo,

Compliance Department Metropolitan Stock Exchange of India Limited Vibgyor Towers, 4th floor, Plot No C 62, G - Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra(E), Mumbai - 400 098

## Sub: Quarterly Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September, 2018.

Dear Sir,

Pursuant to Regulation 27 clause (2) of SEBI Listing regulation, 2015, (LORD), we are enclosing herewith the yearly and quarterly Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September, 2018.

Kindly acknowledge the same.

Thanking you,

Yours Faithfully, For Symbiox Investment & Trading Co Ltd

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MOHIT SHARMA Managing Director DIN NO. 06554517



Encl: As stated above

**ANNEXURE I** 

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: Symbiox Investment & Trading Company Ltd

2. Quarter ending: 30th September, 2018

## I. Composition of Board of Directors

	Name of the Director	S S S S S S S S S S S S S S S S S S S	ZIQ	Category (Chairperson/Executive /Non- Executive/independent /Nominee) &	Date of Appointment in the current term /cessation	Tenure	No of Directorship in listed entities inciuding this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee heid in listed entities including this listed
	GAURAV AUDICHYA	A;HPA9255H	06953445	Executive Director	21-08-2014	1		Listing Regulations)	Listing Regulations)
	MOHIT SHARMA	ELEPS4911R	06554517	Executive Director	15-02-2014			t and the second se	D
	RAM PRASAD PAREEK	AAPPJ83820	07379867	Non-Executive - Independent Director	06-01-2016	32		2	0
	SARMAN SHARMA	ARJPSS174K	02484309	Non-Executive - Independent Director	23-09-2013	69		- 	
	MINA DEVI AGARWAL	BKSPA3359K	07370734	Chairperson-Non- Executive - Independent Director	06-01-2016	32		1	
	SHIVANGI HENILBHAI RUPARELIA	CKTPR5435B	07958051	Non-Executive - Independent Director	11-10-2017	12			0
	DIPAKBHAI HARILAL RUPARELIYA	ADKPR0917Q	07958124	Executive Director	11-10-2017	1	T	0	0
1	SPAN nu &Catego hyphen * to be f	umber of any dir ory of directors n filled only for Inc	ector would not means executive dependent Direc	SPAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a direc hyphen * to be filled only for Independent Director. Tenure would mean total period from which	site of Stock Excha lent/Nominee. if a ( total period from w	nge director fits hich Indene	into more than one cate indent director is serving	SPAN number of any director would not be displayed on the website of Stock Exchange &Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen * to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the literod on the literod	barating them with



1. Audit Committee				Lategory (Unairperson/Executive/Non- Executive/independent/Nominee) \$
		1) Mina Devi Agarwal- Chairman 2) Mohit Sharma - Member	l- Chairman ember	Non-Executive/ Independent Director Executive Director
		<ol> <li>Xam Prasad Pareek - Member</li> <li>Sarman Sharma - Member</li> </ol>	ik - Member Member	Non-Executive/ Independent Director Non-Executive/ Independent Director
2. Nomination & Remuneration Committee	Committee	<ol> <li>Gaurav Audichya - Member</li> <li>Mina Devi Agarwal - Member</li> </ol>	- Member I - Member	Executive Director Non-Executive/ Independent Director
		<ul><li>3) Ram Prasad Pareek - Chairperson</li><li>4) Sarman Sharma - Member</li></ul>	k - Chairperson Member	Non-Executive/ Independent Director
<ol> <li>Risk Management Committee(if applicable)</li> </ol>	e(if			
4. Stakeholders Relationship Committee	ommittee'	1) Gaurav Audichya - Member	Member	Executive Director
		2) Mina Devi Agarwal - Member	- Member	Non-Executive/ Independent Director
		3) Ram Prasad Pareek - Member	k - Member	Non-Executive/ Independent Director
&Category of directors means executi separating them with hyphen	executive/non-e	xecutive/independent	/Nominee. if a director fits into mo	&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen
Date(s) of Meeting (if any) in the previous quarter	he previous	Date(s) of Meeting (i	Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
28-05-2018		14-08-2018		77
		0107-60-40		70
IV. Meeting of Committees	1			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of met (details)	uirement of Quorum	Date(s) of meeting of the committee in the previous quarter	ttee Maximum gap between any two consecutive meetings in number of days*
14-08-2018	YES - 4	5	28-05-2018	77
04-09-2018				20

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	compliance status ( ) es/ NO/ NA / Feler note Delow
Whether prior approval of audit committee obtained	Not Annlicable
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable
$1 \le 10^{-11}$ the countrip Compliance Status", compliance or non-compliance may be it been composed in accordance with the requirements of Listing Regulations. "Ye	non-compliance may be indicated by Yes/No/N.A For example, if the Board has of Listing Regulations. "Yes" may be indicated. Similarly, in case the Listed Entity
2.If status is "No" details of non-compliance may be given here	
VI. Affirmations	
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 2. The composition of the following committees is in terms of SEBI/(intion obligations) and disclosure requirements) Regulations, 2015.	2015.
a. Audit Committee - YES	gauoris and discussing requirements) Regulations, 2015
b. Nomination & remuneration committee: - YES	
d. Risk management committee (applicable to the foo 100 listed entities)- <b>Nat Applicable</b>	Not Annlicahla
ō	esponsibilities as specified in SEBI (Listing obligations and
disclosure requirements) Regulations, 2015 YES	2 2
To the interturys of the poard of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Requirements 2015 - VEC	n conducted in the manner as specified in SEBI (Listing
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any	aced before Board of Directors. Any
For Symbiox Investment & Trading Co Ltd	- YES
now -	
MOHIT SHARMA	
Managing Director DIN NO. 06554517	
Note: Information at Table I and II above need to be necessarily given in 1st guarter of each financial year. However if there is no change of information is more than the second of th	Jancial vear However if there is no chonce of information is a chonce
oughter(s) of that financial year, this information may not be avoid outlined and the information were a clienting of intro	



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**ANNEXURE II** 

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Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

Item	Complia	Compliance status (Yes/No/NA)refer note helow
Details of business		
Terms and conditions of appointment of independent directors	sclors	
Composition of various committees of board of directors		
Code of conduct of board of directors and senior management personnel		
Details of establishment of vigil mechanism/ Whistle Blower policy		
Criteria of making payments to non-executive directors	NA	
Policy on dealing with related party transactions	. Yes	
Policy for determining 'material' subsidiaries		
Details of familiarization programmes imparted to independent directors		·····
Contact information of the designated officials of the listed entity who are	d entity who are	
email address for sristing and national and action of a		
VILLE AUTOS IN STAVATICE COLCESSE AND UNCT FERVANT DERINS	Details	
rinanciai results	Yes	
Shareholding pattern		
Details of agreements entered into with the media companies and/or	their associates	
New name and the old name of the listed entity		
II Annual Affirmations		
Particulars	Regulation Number	Countinues status (VacAl. Al 1)6.
Independent director(s) have been appointed in terms of	ļ	v veripitaties status ( x ess/NU/VA)feter flote below
specified criteria of 'independence' and/or 'eligibility'		1 25
Board composition	17(1)	
Meeting of Board of directors	17(2)	
Review of Compliance Reports	17(3)	Yev
Plans for orderly succession for appointments	17(4)	Ver
Code of Conduct	17(5)	Nex
Fees/compensation	17(6)	NA
Minimum Information	17(7)	<i>Yec</i>
Compliance Certificate	17(8)	Var
Risk Assessment & Management	17(9)	NA
Performance Evaluation of Independent Directors	17(10)	
Composition of Audit Committee	18(1)	<u>Var</u>
Meeting of Andit Committee		
and the second s	(7)01	Vac



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Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yev
Composition and role of risk management committee	21(1),(2),(3),(4)	NA CONTRACTOR OF
Vigil Mechanism		λως
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	<u>Yøs</u>
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	<u>NA</u>
Approval for material related party transactions	23(4)	N.
Composition of Board of Directors of unlisted material Subsidiary	24(1)	
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA .
Maximum Directorship & Tenure	25(1) & (2)	Voc
Meeting of independent directors		Vec
Familiarization of independent directors		Yes
Memberships in Committees	26(1)	
Affirmation with compliance to code of conduct from	26(3)	Yes
members of Board of Directors and Senior management personnel		
Disclosure of Shareholding by Non-Executive Directors	26(4)	Vac
Policy with respect to Obligations of directors and semiar management	26(2) & 26(5)	Pes
Note		
<ol> <li>In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/N, the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Ent 2 If status is "No" details of non-compliance may be given here.</li> <li>3 If the Listed Entity would like to provide any other information the same may be indicated here.</li> </ol>	In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. If status is "No" details of non-compliance may be given here. If the Listed Entity would like to provide any other information the same may be indicated here.	, if the Board has been composed in accordance with rty transactions, the words "N.A." may be indicated.
III Affirmations:		
The Listed Entity has approved Material Subsidiary Policy and the For Symbiox Investment & Trading Co 1 td	y and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	o subsidiary of Listed Entity have been complied.
Month - want		
RMA Director 54517		

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