

<b>General information about company</b>	
Scrip code	539278
NSE Symbol	
MSEI Symbol	SYMBIOX
ISIN	INE653R01012
Name of the entity	<b>SYMBIOX INVESTMENT &amp; TRADING CO. LIMITED</b>
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any Other

**Annexure I**

**Annexure I to be submitted by listed entity on quarterly basis**

**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson								Yes																			
Whether Chairperson is related to MD or CEO								No	Disqualification of Directors under section 164 of the Companies Act, 2013																		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN		
1	Mr	SAMIT RAY	DORPR2037Q	08406285	Executive Director	Chairperson		13-06-1965	No				Active	NA		08-04-2019				1	0	1	0				
2	Mr	MAHAVIR VERMA	BCQPV7871M	08406239	Executive Director	Not Applicable		26-03-1978	No				Active	NA		08-04-2019				1	0	0	0				
3	Mr	BAPPA MITRA	CBGPM0171H	08405997	Non-Executive - Independent Director	Not Applicable		14-12-1974	No				Active	Yes	30-09-2019	08-04-2019				42	1	1	2	2			
4	Mrs	RAJKUMARI NASKAR	BUNPN7015G	09409954	Non-Executive - Independent Director	Not Applicable		01-01-1963	No				Active	Yes	28-09-2022	12-02-2022				12	1	1	2	0			
5	Mrs.	MINA DEVI AGARWAL	BKSPA3359K	07370734	Non-Executive - Independent Director	Not Applicable		01-05-1984	No				Active	Yes	28-09-2022	06-01-2016	28-09-2022				6	1	1	2	0		
6	Mr	NITESH PODDAR	DSWPP9015H	09673614	Non-Executive - Independent Director	Not Applicable		14-10-1995	No				Active	Yes	28-09-2022	28-09-2022				6	1	1	0	0			

<b>Audit Committee Details</b>							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08405997	BAPPA MITRA	Non-Executive - Independent Director	Chairperson	08-04-2019		
2	07370734	MINA DEVI AGARWAL	Non-Executive - Independent Director	Member	06-01-2016		
3	09409954	RAJKUMARI NASKAR	Non-Executive - Independent Director	Member	12-02-2022		

<b>Nomination and remuneration committee</b>							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08405997	BAPPA MITRA	Non-Executive - Independent Director	Chairperson	08-04-2019		
2	07370734	MINA DEVI AGARWAL	Non-Executive - Independent Director	Member	06-01-2016		
3	09409954	RAJKUMARI NASKAR	Non-Executive - Independent Director	Member	12-02-2022		



<b>Corporate Social Responsibility Committee</b>							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

<b>Other Committee</b>					
DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

## Annexure 1

### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	12-11-2022				Yes	6	6	4
2	29-11-2022		16		Yes	6	6	4
3		05-01-2023	36		Yes	6	6	4
4		30-01-2023	24		Yes	6	6	4
5		17-02-2023	17		Yes	6	6	4

## Annexure 1

### IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	12-11-2022				Yes	3	3	3	0
2	Audit Committee	30-01-2023	78			Yes	3	3	3	0
3	Stakeholders Relationship Committee	17-02-2023	17			Yes	4	4	3	0

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
Disclosure of notes of material transaction with related party			



### Annexure 1

#### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	

### Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SAMIT RAY
2	Designation	Director

## Annexure II

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

### I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
2	Terms and conditions of appointment of independent directors	Yes	<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>	<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
3	Composition of various committees of board of directors	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
4	Code of conduct of board of directors and senior management personnel	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
6	Criteria of making payments to non-executive directors	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
7	Policy on dealing with related party transactions	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
8	Policy for determining 'material' subsidiaries	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
9	Details of Familiarization programmes imparted to independent directors	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
11	email address for grievance redressal and other relevant details	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
12	Financial results	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
13	Shareholding pattern	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>

14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47(1)	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
21	Materiality Policy as per Regulation 30	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		<a href="https://www.symbioxinvestment.com/about-us.html">https://www.symbioxinvestment.com/about-us.html</a>

## Annexure II

### II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	NA	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	

22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5), (6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	NA	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	

<b>Annexure II</b>	
Name of signatory	SAMIT RAY
Designation	Director

<b>Annexure II</b>		
<b>III. Affirmations</b>		
<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

<b>Annexure II</b>	
Name of signatory	SAMIT RAY
Designation	Director

<b>Additional Half yearly Disclosure</b>			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	Not Applicable		
<b>I. Disclosure of Loans/ guarantees/comfort letters /securities etc. refer note below</b>			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(D) Additional Information			
<b>II. Affirmations</b>			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.			
Name			
Designation			
Place			
Date			

<b>Signatory Details</b>	
Name of signatory	SAMIT RAY
Designation of person	Director
Place	Kolkata
Date	19-04-2023









































