

Corporate Governance Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: Symbiox Investment & Trading Co. Ltd.

2. Quarter ending: 30/09/2023

Annexure 1

Composition of Board of Directors

Whether the listed entity has a Regular Chairperson		Whether Chairperson is related to MD or CEO		Yes	No													
Sr	Title	Name of the Director	PAN	DIN	Category 1	Category 2	Category 3	Date of Birth	Whether special resolution passed?	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of directors (in months)	No of Director ship in listed entities	No of Independent Directorship in listed entities	Number of memberships in Audit/ Stakeholder Committee(s)	No of post of Chairperson in Audit/ Stakeholder Committee
1	Mr.	SAMIR KAY	DORRR2037Q	08406285	Executive Director	Chairperson		13-06-1965	NA		08-04-2019			0	1	0	1	0
2	Mr.	BAPPA MITRA	CBGPM0171H	08405997	Non-Executive – Independent Director	Not Applicable		14-12-1974	Yes	30-09-2019	08-04-2019			48	1	1	0	2
3	Mrs.	MINA DEVI AGARWAL	BKSPA3359K	07370734	Non-Executive – Independent Director	Not Applicable		01-05-1984	Yes	28-09-2022	06-01-2016	28-09-2022		12	1	1	2	0
4	Mr.	NITESH PODDAR	DSWPP9015H	09673614	Non-Executive – Independent Director	Not Applicable		14-10-1995	Yes	28-09-2022	28-09-2022			12	1	1	0	0
5	Mr.	SWAPAN SARDAR	FWVPS8011R	09672631	Non-Executive Independent Director	Not Applicable		01-08-1970	Yes	29-09-2023	05-09-2023			0	1	1	2	0
6	Mrs.	SUNITA SHOW	OOVPS0597N	09673548	Executive - Director	Not Applicable		19-06-1986	NA		05-09-2023			0	1	0	0	0
7	Mrs.	RAJKUMARI NASKAR	BUNPN7015G	09409954	Non-Executive – Independent Director	Not Applicable		01-01-1963	Yes	28-09-2022	12-02-2022			17	1	1	2	0
8	Mr.	MAHAVIR VERMA	BCCPV7871M	08406239	Executive Director	Not Applicable		26-03-1978	NA		08-04-2019				1	0	0	0



Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	08405997	BAPPA MITRA	Non-Executive – Independent Director	Chairperson	08-04-2019	
2	07370734	MINA DEVI AGARWAL	Non-Executive –Independent Director	Member	06-01-2016	
3	09672631	SWAPAN SARDAR	Non-Executive – Independent Director	Member	05-09-2023	
4	09409954	RAJKUMAR NASKAR	Non-Executive – Independent Director	Member	12-02-2022	05-09-2023

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	08405997	BAPPA MITRA	Non-Executive – Independent Director	Chairperson	08-04-2019	
2	07370734	MINA DEVI AGARWAL	Non-Executive – Independent Director	Member	06-01-2016	
3	09672631	SWAPAN SARDAR	Non-Executive- Independent Director	Member	05-09-2023	
4	09409954	RAJKUMARI NASKAR	Non-Executive – Independent Director	Member	12-02-2022	05-09-2023



Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	08405997	BAPPA MITRA	Non-Executive – Independent Director	Chairperson	08-04-2019	
2	07370734	MINA DEVI AGARWAL	Non-Executive –Independent Director	Member	06-01-2016	
3	08406285	SAMIT RAY	Executive Director	Member	08-04-2019	
4	09672631	SWAPAN SARDAR	Non-Executive- Independent Director	Member	05-09-2023	
5	09409954	RAJKUMARI NASKAR	Non-Executive – Independent Director	Member	12-02-2022	05-09-2023

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation



Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation

Other Committee

DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors

Meeting of Board of Directors

Sr	Dates of Meeting (Enter Previous and current quarter date in chronological order)	Maximum gap between dates (in number of days)	Whether requirement of Quorum met (Yes/No)	Number of Director(s) present (including Independent Director(s))	No. of Independent Director(s) attending the meeting*
1	17-04-2023		Yes	6	4
2	29-05-2023	41	Yes	6	4
3	12-08-2023	74	Yes	6	4
4	05-09-2023	23	Yes	6	4



Meeting of Committees							
Sr	Committee Name	Date(s) of meeting (Enter Previous and Current quarter date in chronological order)	Maximum gap (between dates) in number of days	Whether requirement of Quorum met (Yes/No)	Number of Directors Present (including Independent Director)	No. of Independent Directors attending the meeting*	Other Committee Name
1	Audit Committee	29-05-2023		Yes	3	3	0
2	Audit Committee	12-08-2023	74	Yes	3	3	0
3	Nomination and Remuneration Committee	29-05-2023		Yes	3	3	0
4	Nomination and Remuneration Committee	05-09-2023	98	Yes	3	3	0
5	Stakeholders Relationship Committee	29-05-2023		Yes	4	3	0

Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	YES	
2	Whether shareholder approval obtained for material RPT	YES	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	YES	
Disclosure of notes of material transaction with related party			



Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	KHUSHBOO PITTI
2	Designation	Company Secretary and Compliance Officer



Additional Half yearly Disclosure

Applicability of disclosure	Not Applicable	
Reason for Non-Applicability	Not Applicable	

1. Disclosure of loans/ guarantees/ comfort letters / securities etc. refer note below

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by



Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(D) Additional Information			

II. Affirmations

Affirmations	Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		
Name		
Designation		
Place		
Date		

Signatory Details

Name of signatory	KHUSHBOO PITTI
Designation of person	Company Secretary and Compliance Officer
Place	Kolkata
Date	28-02-2024

