## Corporate Governance Format to be submitted by listed entity on quarterly basis

Name of Listed Entity: Symbiox Investment & Trading Co. Ltd.
 Quarter ending: 30/09/2023

Annexure 1

|   | whether Chairper:   | ther the listed entity has a Regular Ch<br>Whether Chairperson is related to MI<br>DIN Category 1   | er the listed entity has a Regular Chairperson hether Chairperson is related to MD or CEO  DIN  Category 1 2   | Categ Date   | Categ Date   | Composition of Board of Dire  Yes  No  Categ Date of Birth Wheth er passing special resolut resolution ion passed | Categ Date of Birth Wheth ory3  Categ Date of Birth special resolut resolution of Board of Directors  No  Initial Date of appointment resolution passed | Categ Date of Birth Wheth ory3  Categ Date of Birth resolut resolution  No  Categ Date of Birth or passing special resolution resolution ion passed | Categ Date of Birth wheth ory3  Categ Date of Birth special resolution resolution of Board of Directors  Ves  No  Initial Date of appointment resolution of Date of passation nt passed  Initial Date of appointment Re- resolution nt passed | Categ Date of Birth wheth or or special special resolution ion passed passed passed passed system of passed | Categ Date of Birth ory3  Categ Date of Birth resolut resolution ion passed  No  Categ Date of Birth or passing appointment ion passed  No  Categ Date of Birth or passing appointment ion ion passed  No  Categ Date of Birth or passing appointment ion ion passed  No  Categ Date of Birth or passing appointment ion ion ion passed  No  Date of Date of Date of directors Director months) listed entities | Categ Date of Birth wheth or or special special resolution ion passed passed passed passed system of passed | Whet | Sr Title Name of the PAN Director                                     |   | SAMII<br>RAY<br>DORPR2037Q | Mr. SAMII<br>RAY<br>Mr. BAPPA<br>Mr. MITRA | Mr. BAPPA Mr. MITRA Mrs. MINA Mrs. DEVI AGARWAL | Mr. SAMII Mr. BAPPA Mr. MITRA Mrs. DEVI AGARWAL Mr. NITESH PODDAR | Mr. SAMII RAY  Mr. BAPPA MiTRA  Mis. Mitra  Mrs. DEVI AGARWAL  NITESH PODDAR  Mr. SWAPAN SARDAR | Mr. SAMII RAY  Mr. BAPPA MITRA  MIS. MITRA  MIS. DEVI AGARWAL  MIT. NITESH PODDAR  Mr. SWAPAN SARDAR  SUNITA SHOW | Mr. SAMII Mr. BAPPA Mr. MITRA Mr. MITRA Mrs. DEVI AGARWAL Mr. NITESH PODDAR SWAPAN SARDAR Mrs. SWAPAN SARDAR SHOW Mrs. RAJKUMARI NASKAR |
|---|---|---|--|--|--|---|---|---|---|--|---|--|------|---|---|----------------------------|--|---|---|---|---|---|
| Categ Date of Birth or special resolution passing passing ion passed ion passed ion passed ion passed resolution and passed resolution ion passed resolution appointment ion passed resolution appointment ion passed resolution ion passed resolution appointment ion passed resolution ion appointment ion ion passed resolution ion ion resolution ion appointment ion ion appointment ion | Categ Date of Birth Wheth ory3  Categ Date of Birth Passing special resolution passed of passed of Passing 1 13-06-1965  Table of Passing 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 | Yes  Yes  No  Date of Birth Wheth eresolution resolution passed ion passed  Passed  14-12-1974  Yes  Yes  Passing special special resolution passed  Yes  No  Date of passing special resolution passed  Yes  No  Date of passed ion passed  No  Date of passed appointment Re-passation ion passed  No  No  Date of passed in mitial Date of pappointment resolution ion passed  No  No  No  Date of passed in mitial Date of pappointment Re-passation ion nt ion listed in listed in listed entities entities  No  No  No  No  No  No  No  No  No  N | Date of Intment Reappointme nt Re- appointme nt of the n | Date of Intment Reappointme nt Re- appointme nt of the n | Date of Intment Reappointme appointment nt Reappointme appointme nt Reappointme n | Date of directors Director Independent (in ship in Directorship months) listed in listed entities  O 1 0 1 0 1 1  | Tenure of No of No of directors Director Independent (in ship in Directorship months) listed in listed entities entities  O 1 0 48 1 1                  | No of No of Director Independent ship in Directorship listed in listed entities entities  | No of Independent Directorship in listed entities   |  | Number of memberships in Audit/ Stakeholder Committee(s)  |  |      | No of post of<br>Chairperson<br>in Audit/<br>Stakeholder<br>Committee | 0 | 2                          |  | 0   | 0 0   | 0 0 0   | 0 0 0   | 0 0 0 0   |

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|------------------|--|---|---|------------------------------|---|---------------------------------------|---|---|--|---|------------------------------|---|-------------------------|
| 09409954         | 09672631                               | 07370734                                | 08405997                                | DIN<br>Number                | <   |                                       | 09409954                                | 09672631                                | 07370734                               | 08405997                                | DIN<br>Number                |   | 10                      |
| RAJKUMARI NASKAR | SWAPAN SARDAR                          | MINA DEVI AGARWAL                       | BAPPA MITRA                             | Name of Committee<br>members | Vhether the Nomination and  |                                       | RAJKUMAR NASKAR                         | SWAPAN SARDAR                           | MINA DEVI AGARWAL                      | BAPPA MITRA                             | Name of Committee<br>members | Whet  |                         |
| Non-Executive –  | Non-Executive-<br>Independent Director | Non-Executive –<br>Independent Director | Non-Executive –<br>Independent Director | Category 1 of directors      | Whether the Nomination and remuneration committee has a Regular Chairperson | Nomination and remuneration committee | Non-Executive –<br>Independent Director | Non-Executive –<br>Independent Director | Non-Executive –Independent<br>Director | Non-Executive –<br>Independent Director | Category 1 of directors      | Whether the Audit Committee has a Regular Chairperson | Audit Committee Details |
| Momhor           | Member                                 | Member                                  | Chairperson                             | Category 2 of directors      | gular Chairperson   | n committee                           | Member                                  | Member                                  | Member                                 | Chairperson                             | Category 2 of directors      | ular Chairperson                                      | ails                    |
| 12-02-2022       | 05-09-2023                             | 06-01-2016                              | 08-04-2019                              | Date of<br>Appointment       | Yes   |                                       | 12-02-2022                              | 05-09-2023                              | 06-01-2016                             | 08-04-2019                              | Date of<br>Appointment       | Yes   |                         |
| 05-09-2023       |  |   |   | Date of<br>Cessation         |   |                                       | 05-09-2023                              |   | 20                                     |   | Date of<br>Cessation         |   |                         |



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|---|--|--------------------|--|---|------------------------------|---|-------------------------------------|
| 09409954                                | 09672631                               | 08406285           | 07370734                               | 08405997                                | DIN<br>Number                |   |                                     |
| RAJKUMARI NASKAR                        | SWAPAN SARDAR                          | SAMIT RAY          | MINA DEVI AGARWAL                      | BAPPA<br>MITRA                          | Name of Committee<br>members | Whether the Stakeholder   |                                     |
| Non-Executive –<br>Independent Director | Non-Executive-<br>Independent Director | Executive Director | Non-Executive –Independent<br>Director | Non-Executive –<br>Independent Director | Category 1 of directors      | Whether the Stakeholders Relationship Committee has a Regular Chairperson | Stakeholders Relationship Committee |
| Member                                  | Member                                 | Member             | Member                                 | Chairperson                             | Category 2 of directors      | egular Chairperson  | ship Committee                      |
| 12-02-2022                              | 05-09-2023                             | 08-04-2019         | 06-01-2016                             | 08-04-2019                              | Date of<br>Appointment       | Yes   |                                     |
| 05-09-2023                              |  |                    |  |   | Date of Cessation            |   |                                     |

|  | Sr                           |   |                           | О                                       | 4                                      |  |
|--|------------------------------|---|---------------------------|---|--|--|
|  | DIN<br>Number                |   |                           | 09409954                                | 09672631                               |  |
|  | Name of Committee<br>members | Whether the Risk Mar  |                           | RAJKUMARI NASKAR                        | SWAPAN SARDAR                          |  |
|  | Category 1 of directors      | Whether the Risk Management Committee has a Regular Chairperson | Risk Managem              | Non-Executive –<br>Independent Director | Non-Executive-<br>Independent Director |  |
|  | Category 2 of directors      | gular Chairperson   | Risk Management Committee | Member                                  | Member                                 |  |
|  | Date of<br>Appointment       |   | The second                | 12-02-2022                              | 05-09-2023                             |  |
|  | Date of Cessation            |   |                           | 05-09-2023                              |  |  |



|                 | DIN Number                 |                           | 2               |   |  | Sr Numb                      |   |
|-----------------|----------------------------|---------------------------|-----------------|---|--|------------------------------|---|
|                 |                            | -                         |                 | 1 |  | DIN<br>Number                | Wheth   |
| And designation | Name of Committee members  | The state of the state of | Authorities and |   |  | Name of Committee<br>members | er the Corporate Social Resp  |
|                 | rs Name of other committee | Other Committee           |                 |   |  | Category 1 of directors      | Whether the Corporate Social Responsibility Committee has a Regular Chairperson |
| Y               | e Category 1 of directors  | mittee                    |                 |   |  | Category 2 of directors      | gular Chairperson   |
| 15-20-20-2      |                            |                           |                 |   |  | Date of<br>Appointment       |   |
|                 | Category 2 of directors    |                           |                 |   |  | Date of Cessation            | 1   |

**Corporate Social Responsibility Committee** 

| 05-09-2023 | 12-08-2023 | 29-05-2023 | 17-04-2023 | Dates of Meeting (Enter Previous and current quarter date in chronological order) |                               |
|------------|------------|------------|------------|---|-------------------------------|
| 23         | 74         | 41         |            | Maximum gap<br>between dates (in<br>number of days)                               |                               |
| Yes        | Yes        | Yes        | Yes        | Whether requirement of Quorum met (Yes/No)  | Meeting of Board of Directors |
| 6          | 6          | 6          | 6          | Number of Director(s) present (ncluding Independent Director(s))                  | Directors                     |
| 4          | 4          | 4          | 4          | No. of Independent<br>Director(s) attending the<br>meeting*                       |                               |

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|--|--|--|--|--|----------------------------|---|---------------------------------------|---------------------------------------|-----------------|
| sure of  | Whet appro   | Whet   | Whet   | Subject  | ed Part                    | 5   | 4                                     | ω                                     | 2               |
| notes of material trans  | Whether details of RPT entered into pursuant to o approval have been reviewed by Audit Committee       | her shareholder approv                                 | her prior approval of au                           | Ct Ct  | Related Party Transactions | Stakeholders<br>Relationship<br>Committee | Nomination and Remuneration Committee | Nomination and Remuneration Committee | Audit Committee |
| Disclosure of notes of material transaction with related party | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Whether shareholder approval obtained for material RPT | Whether prior approval of audit committee obtained | Marine method in the second  |                            | 29-05-2023                                | 05-09-2023                            | 29-05-2023                            | 12-08-2023      |
|  | YES  | YES  | YES  | Compliance s<br>(Yes/No/NA)  |                            |   | 98                                    |                                       | 74              |
|  |  |  |  | Compliance status<br>(Yes/No/NA)                                   |                            | Yes                                       | Yes                                   | Yes                                   | Yes             |
|  |  |  |  | If state<br>compl  |                            | 4   | ω                                     | ω                                     | 3               |
|  |  |  |  | ıs is "No'<br>iance ma   |                            |   |                                       |                                       | Ī               |
|  |  |  |  | If status is "No" details of non-<br>compliance may be given here. |                            | 3   | ω                                     | 3                                     | ω               |
|  | 101 C  |  |  | 2  |                            | 0   | 0                                     | 0                                     | 0               |

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|---|---------------------------------------|---------------------------------------|-----------------|-----------------|--|-----------------------|
| Stakeholders<br>Relationship<br>Committee | Nomination and Remuneration Committee | Nomination and Remuneration Committee | Audit Committee | Audit Committee | Committee Name   |                       |
| 29-05-2023                                | 05-09-2023                            | 29-05-2023                            | 12-08-2023      | 29-05-2023      | Date(s) of meeting (Enter Previous and Current quarter date in chronological order)  Maximum gap (between dates) in number of days |                       |
|   | 98                                    |                                       | 74              |                 | Maximum gap<br>(between dates)<br>in number of days  | Meeting of            |
| Yes                                       | Yes                                   | Yes                                   | Yes             | Yes             | Whether requirement of Quorum met (Yes/No)   | Meeting of Committees |
| 4   | ω                                     | ω                                     | ω               | ω               | Number of Directors Present (including Independent Director)   |                       |
| з   | ω                                     | з                                     | ω               | з               | No. of Independent<br>Directors attending<br>the meeting*  |                       |
| 0   | 0                                     | 0                                     | 0               | 0               | Other<br>Committee<br>Name   |                       |

| 2  | 1                                | Sr                        |            |  | 00   | 7   | 6  |   | И  |  | 4  |  | ω  |                    | 2   | 1  | Sr                         | VI. Affi         |            |  |
|--|----------------------------------|---------------------------|------------|--|--|---|--|---|--|--|--|--|--|--------------------|---|--|----------------------------|------------------|------------|--|
| Designation Company Secretary and Compliance Officer | Name of signatory KHUSHBOO PITTI | Subject Compliance status | Annexure 1 |  | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015. | d. Risk management committee (applicable to the top 1000 listed entities) | The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | c. Stakeholders relationship committee | The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | b. Nomination & remuneration committee | The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | a. Audit Committee | The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements)Regulations, 2015 | Subject                    | VI. Affirmations | Annexure 1 |  |
| - 10 miles   |                                  |                           |            | ************************************** | Yes  | Yes   | Yes  |   | NA   |  | Yes  |  | Yes  |                    | Yes   | Yes  | Compliance status (Yes/No) |                  |            |  |
|  |                                  |                           | ESTMA      |  |  |   |  |   |  |  |  |  |  |                    |   |  |                            |                  |            |  |

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|  | Additional Half yearly Disclosure   |   |   |
|--|---|---|---|
| Applicability of disclosure  | Not Applicable  | 25  |   |
| Reason for Non-Applicability   | Not Applicable  |   |   |
| I. Disclosure of Loans/ guarantees/comfort letters /securities etc. refer note below   | /securities etc. refer note below   |   |   |
| (A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to  | the listed entity directly or indirectly to                                       |   | 10  |
| Entity   | Aggregate amount advanced during six months                                       | Balance outstanding at theend of six months                               |   |
| Promoter or any other entity controlled by them  |   |   |   |
| Promoter Group or any other entity controlled by them  |   |   |   |
| Directors (including relatives) or any other entity controlled by them   |   |   |   |
| KMPs or any other entity controlled by them  |   |   |   |
| (B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly loan(s) or any other form of debt availed By                             |   |   |   |
| Entity   | name called) provided by the listed entity  | directly orindirectly, in co  | orindirectly, in connection with any  |
|  | name called) provided by the listed entity  Type (guarantee, comfort letter etc.) | directly orindirectly, in continuing six                                  | Balance outstanding the end of six months(taking into account any invocation)   |
| Promoter or any other entity controlled by them  | name called) provided by the listed entity  Type (guarantee, comfort letter etc.) | directly orindirectly, in continuous amount of issuance during six months | Balance outstanding the end of six months(taking into account any invocation)   |
| Promoter or any other entity controlled by them Promoter Group or any other entity controlled by them  | name called) provided by the listed entity  Type (guarantee, comfort letter etc.) | Aggregate amount of issuance during six months                            | Balance outstanding the end of six months(taking into account any invocation)   |
| Promoter or any other entity controlled by them Promoter Group or any other entity controlled by them Directors (including relatives) or any other entity controlled by them | name called) provided by the listed entity  Type (guarantee, comfort letter etc.) | Aggregate amount of issuance during six months                            | Balance outstanding a the end of six months(taking into account any invocation) |



(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or anyother form of debt availed by

| J. Dinney | Signatory Details | Date                         | Place | Designation | Name | All loans (or other form of debt), guarantees, comfort letters (by whatever name called) orsecurities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company. | Affirmations      | II. Affirmations | (D) Additional Information   | KMPs or any other entity controlled by them | Directors (including relatives) or any other entity controlled by them | Promoter Group or any other entity controlled bythem | Promoter or any other entity controlled by them | Entity (cash, shares etc.)                            |
|-----------|-------------------|------------------------------|-------|-------------|------|--|-------------------|------------------|--|---|--|--|---|---|
| , and     | 45ilc             | Buddoup state of second to p |       |             |      | name<br>ven<br>rector(s)<br>ss) or any   | Compliance Status | ions             |  |   |  |  |   | Aggregate value ofsecurity provided during six months |
|           |                   | 3 3                          |       |             |      |  | Company Remarks   | 4)               | 10 mar 11 mar 12 | 1     |  |  |   | Balance outstanding at the end of six months          |



Place Date Name of signatory

Designation of person

Kolkata

28-02-2024

Company Secretary and Compliance Officer

KHUSHBOO PITTI